

# Finance Society Constitution

## Article 1. Name and Founding Date of Organization

1.01 The name of the organization shall be the Finance Society and its founding date is unknown. Its re-founding, as the organization is known currently, occurred September 5<sup>th</sup>, 2006.

## Article 2. Mission and Goals

2.01 To promote a better understanding of the finance field through the sponsorship of events featuring business professionals, educators and peers.

2.02 To educate in the areas of, but not limited to, investment banking, sales and trading, equity research, private wealth management and capital markets.

2.03 To encourage exploration of alternative career possibilities with boutique firms, commercial banks and entrepreneurial start-ups and alternative career paths in financial consulting, management & operations and regulation.

2.04 To expose the realities, challenges and lifestyles of each chosen field.

2.05 To provide opportunities to meet business leaders actively involved in the financial field and professors with ample academic experience in finance.

2.06 To impart the necessary resume-crafting, interviewing, and networking skills needed to succeed in internship and job searching.

2.07 The Finance Society is committed to being a resource for underclassmen by focusing on their scholastic and profession development in hopes of preparing our members for their futures following academia.

## Article 3. Membership

3.01 Membership in the Finance Society shall be open to all members of the New York University community, which includes students outside of the Stern school,

without regard to race, color, creed, sex, physical ability, sexual orientation or national origin.

3.02 Official membership in the Finance Society shall be determined by attendance of at least 4 professional events, requiring students to prove attendance by signing their name and e-mail on sign-in sheets during each event.

3.03 To remain a member for every subsequent semester, students must attend at least 3 professional events. Exceptions may be made for students whose absence from school is due to studies abroad, medical conditions or any other reasons deemed unavoidable by the executive board.

3.04 Those who obtain valid membership will appear on the Finance Society's website, as well as, be eligible for executive board positions, have priority for limited RSVP events, have the option to participate in the Bloomberg Certified! Program, and access to valuable internship opportunities.

#### Article 4. Officers and Duties

4.01 The Officers of the Finance Society shall consist of one or more Presidents, one or more Vice Presidents, a Treasurer, a Secretary, a Marketing Director, a Special Events Coordinator, an Alumni Chair, a Social Chair, a Webmaster, and one or more Senior Advisors.

4.02a No non-New York University student shall be eligible to be an Officer of The Finance Society. Only New York University students with official membership standing at the time of election may be eligible.

4.02b At least one position of President must be held by a Stern undergraduate student.

4.03 The Officers of the Finance Society shall be elected by majority vote of officers of the executive board at the end of each semester.

4.04 The President shall preside over, call, and set agendas for all meetings of the Executive Board and work with the board to plan events to be sponsored by the Finance Society. The President shall also seek speakers, form partnerships with other clubs, work with the Treasurer to prepare all budget request forms to the Inter-Club

Council (“ICC”), and shall in all events serve as a liaison with ICC and the Stern Alumni and Development Team.

4.06 In the absence of the President, the Vice President shall assume the duties and responsibilities of the President as described above in Section 4.04. In addition, the Vice President shall aid the President in organizing events, delegate logistics prior to each event and serve as the primary liaison for attendees during events.

4.07 The Treasurer shall maintain accurate financial records of Finance Society by preparing monthly budgets forms and other financial documents necessary for ICC and update the Executive Board on the status of the budget throughout the year. In addition, the Treasurer shall be responsible for collecting receipts, reimbursing members of the Board and ordering food for events.

4.08 The Secretary shall record and distribute accurate minutes of Executive Board meetings and maintain accurate attendance records of all events and meetings. The Secretary is also responsible for maintaining a schedule of events through the ICC portal, reserving rooms in a timely manner, keeping track of the Finance Society’s membership base and updating the listserv.

4.09 The Marketing Director shall be responsible for creating, posting, and sending all advertisements for the Finance Society’s events. The Marketing Director shall help maintain all public and member relations as well as update and maintain the official Finance Society member list. If the Webmaster role is not fulfilled at any point during the semester, then it is the duty of the Marketing Director to be responsible for the roles described in Section 4.13.

4.10 The Special Events Coordinator shall be responsible for organizing any non-speaker events, including social and community service events as well as help pioneer new initiatives such as the Be Bloomberg Certified! Program.

4.11 The Alumni Affairs Chair shall help serve as liason with the Stern Alumni and Development Team, as well as organize and coordinate speaker events by providing for speaker requests and gifts.

4.12 The Social Chair shall provide support for the Special Events Coordinator, record pictures during all events, as well as update and maintain all descriptions of events to be saved and used for future references.

4.13 The Webmaster shall be responsible for the update and maintenance of all aspects of the Finance Society website, as well as design flyers, PowerPoints, and any other elements that require the use of computers.

4.14 The Senior Advisor(s) shall be responsible for professional development programs of the Finance Society by establishing relationships with alumni contacts as well as finding upperclassmen panelists for The Super Star Senior Series.

4.15 Any Officer of The Finance Society may resign at any time by delivering a written notice of resignation to the entire Executive Board.

4.16 Immediately following resignation, the Executive Board will send out applications to fill the open position. If no applicant is deemed adequate then the position will remain open until a sufficient candidate meeting the Board's standards is found.

4.17 New positions may be created if the executive board decides by two-thirds (2/3) affirmative vote of the Executive Board and with President's approval.

4.18 If any Officer of the Finance Society is absent from the New York University campus because they are abroad or on leave, the Board should elect an individual to serve as Officer until the regularly elected Officer shall return to campus and they can fulfill the role together.

4.19 Any Officer of the Organization may be removed from office by a two-thirds (2/3) affirmative vote of the Executive Board at any time throughout the school year, but ideally before the start of the next semester to give ample time to find a replacement.

## Article 5. Quorum and Meetings

5.01 All Members shall be notified of any meetings or events at least one day before the event. Meetings should ideally be advertised one week ahead of time to ensure maximum audience levels. No meeting shall be called to exclude any one member or portion of the membership.

5.02 The Executive Board of The Finance Society shall meet once per week at a time

and place to be determined by the Board that can be attended most regularly by the majority of Officers. At such Meetings of the Executive Board, a quorum shall be deemed to be the attendance of any three (3) members of the Executive Committee.

## Article 6. Elections & Impeachment

6.01 Each Executive Member shall have one (1) vote.

6.02 Candidates are eligible for positions as long as they complete all requirements to be official members of the Finance Society. Candidates must send in a resume and undergo an interviewing process whereby the President, Vice President, and/or one present or former member of the Board is present. If no applicant is deemed adequate then the position will remain open until a sufficient candidate meeting the Board's standards is found.

6.03 Any Officer of the Board can be impeached for the following reasons: the Board feels the Officer has failed to fulfill the responsibilities and duties asked of them, the Officer fails to attend the required number of events to be considered an official member, the Officer continues to miss Executive Board meetings for unspecified reasons, or for other miscellaneous reasons that the Executive Board deems fit. The Officer will be impeached with a two-thirds (2/3) majority vote of the Board.

## Article 7. Miscellaneous

7.01 This Constitution shall have been presented to the Executive Board of the Finance Society and to Members by request.

7.02 The Constitution may be amended or repealed by a two-thirds (2/3) majority vote of the Board.

7.03 This Constitution and any amendments and changes hereto shall be kept on record with the Inter-Club Council and shall be available to any member or alumni of the Stern student body for inspection.